## CS463 - Natural Language Processing

Basic Text Processing:
$>$ Regular Expressions
$>$ Text Normalization
$>$ Word Tokenization
$>$ Lemmatization and Stemming
$>$ Sentence Segmentation and Decision Trees
$>$ Minimum Edit Distance

## Regular Expressions

- A formal language for specifying text strings.
- Formally, a regular expression is an algebraic notation for characterizing a set of strings.
- A regular expression search function will search through a corpus, returning all texts that match a pattern.
- The simplest kind of regular expression is a sequence of simple characters.
- For example:

| RE | Example Patterns Matched |
| :--- | :--- |
| /woodchucks/ | "interesting links to woodchucks and lemurs" |
| /a/ | "Mary Ann stopped by Mona's" |
| /!/ | "You've left the burglar behind again!"" said Nori |

## Regular Expressions

- Regular expressions are case sensitive. This means that the pattern /woodchucks/ will not match the string "Woodchucks".
- We can solve this by using square braces []
- The string of characters inside the braces [] specifies a disjunction of characters to match.

| RE | Match | Example Patterns |
| :--- | :--- | :--- |
| $/[\mathrm{WW}]$ oodchuck/ | Woodchuck or woodchuck | "Woodchuck" |
| $/[\mathrm{abc}] /$ | ' a ', 'b', or 'c' | "In uomini, in soldati"" |
| $/[1234567890] /$ | any digit | "plenty of 7 to 5" |

The use of the brackets [] to specify a disjunction of characters.

## Regular Expressions: Disjunctions

- Use dash - inside brackets to specify any one character in a range.

| Pattern | Matches | Example Patterns Matched |
| :--- | :--- | :--- |
| $[A-Z]$ | An upper case <br> letter | Drenched Blossoms |
| $[a-z]$ | A lower case letter | $\underline{m} y$ beans were impatient |
| $[0-9]$ | A single digit | Chapter $1:$ Down the Rab.bit <br> Hole |

## Regular Expressions: Negation in Disjunction

- Negations can be applied using the caret ${ }^{\wedge}$ symbol
- Caret means negation only when first in []

| Pattern | Matches | Example Patterns Matched |
| :---: | :---: | :---: |
| [^A-Z] | Not an upper case letter | Opfn pripetchik |
| [^Ss] | Neither 'S' nor 's' | I have no exquisite reason" |
| [^$\left.{ }^{\wedge}{ }^{\wedge}\right]$ | Neither e nor ${ }^{\wedge}$ | Look here |
| $a^{\wedge} \mathrm{b}$ | The pattern $a$ caret $b$ | Look up a^b now |

## Regular Expressions: More Disjunction

- Woodchucks is another name for groundhog!
- The pipe | symbol for disjunction

| Pattern | Matches |
| :--- | :--- |
| groundhoglwoodchuck | groundhog <br> woodchuck |
| yours\|mine | yours <br> mine |
| a\|b|c | $=[$ abc $]$ |
| [gG]roundhog\|[Ww]oodchuck | groundhog <br> woodchuck <br> Groundhog <br> Woodchuck |

## Regular Expressions: ?

- The question mark (?) Symbol means zero or one instance of the preceding character.
- The Kleene asterisk (*) symbol means zero or more occurrences of the preceding character.
- The Kleene (+) symbol means one or more occurrences of the preceding character.
- The period (.) symbol is a wildcard expression that matches any single character it represents within the pattern (except a carriage return).

| Pattern | Matches |  |
| :--- | :--- | :--- |
| colou?r | Optional previous char | Color <br> Colour |
| oo*h! | 0 or more of previous char | oh! ooh! oooh! ooooh! |
| o+h! | 1 or more of previous char | oh! ooh! oooh! ooooh! |
| baa+ | 1 or more of previous char | baa baaa baaaa baaaaa |
| beg.n | Only l character | begin begun begun beg3n |

## Regular Expressions: Anchors ^ \$

- Anchors are special characters that anchor regular expressions to particular places in a string.
- The caret (^) matches the start of a line.
- The pattern /^The/ matches the word "The" only at the start of a line.
- The dollar sign $\$$ matches the end of a line.
- /^The dog $\backslash . \$$ matches a line that contains only the phrase "The dog".

| Pattern | Matches |
| :--- | :--- |
| $\wedge[A-Z]$ | Palo Alto |
| $\wedge[\wedge A-\mathrm{Za}-\mathrm{z}]$ | $\underline{1} \quad$ "Hello" |
| $\backslash . \$$ | The end. |
| .$\$$ | The end? The end! |

## Regular Expressions: Boundary Anchors \b \B

- There are also two other anchors: $\backslash \mathrm{b}$ matches a word boundary, and $\backslash \mathrm{B}$ matches a non-boundary.
- For the purposes of a regular expression, a "word" is defined as any sequence of digits, underscores, or letters.
- Examples:
- $\wedge$ bthelb/ matches the word "the" but not the word "other".
- $\wedge \mathrm{b} 99 \backslash \mathrm{~b} /$ will match the string 99 in "There are 99 bottles of juice on the wall" (because 99 follows a space and precedes a space) but not 99 in "There are 299 bottles of juice on the wall" (since 99 follows a number). But it will match 99 in " $\$ 99$ " (since 99 follows a dollar sign (\$), which is not a digit, underscore, or letter).
- What will be the results of using the other anchor: $\backslash \mathrm{B}$ in the previous examples knowing that it matches a non-word boundary?


## Example:

- Suppose we wanted to write a RE to find cases of the English article "the". A simple (but incorrect) pattern might be:


## /the/

- One problem is that this pattern will miss the word when it begins a sentence and hence is capitalized (i.e., The). This might lead us to the following pattern:
/[tT]he/
- But we will still incorrectly return texts with the embedded in other words (e.g., other or theology).
- So we need to specify that we want instances with a word boundary on both sides:


## Errors

- The process we just went through was based on fixing two kinds of errors
- Matching strings that we should not have matched (there, then, other)
- False positives (Type I)
- Not matching things that we should have matched (The)
- False negatives (Type II)


## Errors cont.

- In NLP we are always dealing with these kinds of errors.
- Reducing the error rate for an application often involves two antagonistic efforts:
- Increasing accuracy or precision (minimizing false positives)
- Increasing coverage or recall (minimizing false negatives).


## Summary

- Regular expressions play a surprisingly large role
- Sophisticated sequences of regular expressions are often the first model for any text processing
- For many hard tasks, we use machine learning classifiers
- But regular expressions are used as features in the classifiers
- Can be very useful in capturing generalizations


## Basic Text Processing

## Text normalization

## Text normalization

- Normalizing text means converting it to a more convenient, standard form.

1. Tokenization - Splitting a phrase, sentence, paragraph, or an entire text document into smaller units, such as individual words or terms.
2. Lemmatization - The task of determining that two words have the same root, despite their surface differences.

- The words "sang", "sung", and "sings" are forms of the verb "sing". The word sing is the common lemma of these words, and a lemmatizer maps from all of these to "sing".

3. Stemming - We mainly just strip suffixes from the end of the word.

- The words "caring", "careful" are stemmed to "car", and the words "history" and "historical" are stemmed to "histori"

4. Sentence Segmentation - We break up a text into individual sentences, using cues like periods or exclamation points.

## Normalization

- Need to "normalize" terms
- Information Retrieval: indexed text to query terms must have same form.
- We want to match U.S.A. and USA
- We implicitly define equivalence classes of terms
- e.g., deleting periods in a term
- Alternative: asymmetric expansion:
- Enter: window Search: window, windows
- Enter: windows Search: Windows, windows, window
- Enter: Windows Search: Windows


## Case folding

- Applications like IR (Information Retrieval): reduce all letters to lower case
- Since users tend to use lower case
-Possible exception: upper case in mid-sentence?
- e.g., General Motors
- Fed vs. fed
- SAIL vs. sail
- For sentiment analysis, MT (Machine Translate), Information extraction
-Case is helpful ( $\boldsymbol{U S}$ versus $\boldsymbol{u s}$ is important)


## Basic Text Processing

Word tokenization

## Text Normalization

- Every NLP task needs to do text normalization:

1. Segmenting/tokenizing words in running text
2. Normalizing word formats
3. Segmenting sentences in running text

## How many words?

- A lemma is a set of lexical forms having
- cat and cats = same lemma
- The wordform is the full inflected or derived form of the word.
- cat and cats = different wordforms

They lay back on the San Francisco grass and looked at the stars and their

- Type: an element of the vocabulary.
- Token: an instance of that type in running text.
- How many?
- 15 tokens (or 14 )
- 13 types (or 12 )


## How many words?

$N=$ number of tokens
$\boldsymbol{V}=$ vocabulary $=$ set of types
$|V|$ is the size of the vocabulary
Church and Gale (1990): $|\mathrm{V}|>\mathrm{O}\left(\mathrm{N}^{1 / 2}\right)$


## Simple Tokenization in UNIX

- We can use command tr to tokenize the words by changing every sequence of non alphabetic characters to a newline ('A-Za-z' means alphabetic, the -c option complements to non-alphabet, and the -s option squeezes all sequences into a Single character):
tr -sc 'A-Za-z' ‘/n' < shakes.txt

The output of this command will be:

## THE

SONNETS
by
William
Shakespeare
From
fairest
creatures
We

THE SONNETS by William Shakespeare From fairest creatures We ....

## Simple Tokenization in UNIX

- Now that there is one word per line, we can sort the lines, and pass them to unique -c which will collapse and count them:
tr -sc 'A-Za-z' '/n' < shakes2.txt | sort | uniq -c
with the following output:
1945 A
72 AARON
19 ABBESS
25 Aaron
6 Abate
1 Abates
5 Abbess
6 Abbey
3 Abbot


## Issues in Tokenization

- Finland's capital $\rightarrow$ Finland Finlands Finland's ?
- what're, I'm, isn't $\rightarrow$ What are, I am, is not
- Hewlett-Packard $\rightarrow$ Hewlett Packard?
- state-of-the-art $\rightarrow$ state of the art?
- Lowercase $\rightarrow$ lower-case lowercase lower case ?
- San Francisco $\rightarrow$ one token or two?
- m.p.h., PhD. $\rightarrow$ ??


## Basic Text Processing

## Lemmatization and Stemming

## Lemmatization

- Reduce inflections or variant forms to base form
- am, are, is $\rightarrow$ be
- car, cars, car's, cars' $\rightarrow$ car
- the boy's cars are different colors $\rightarrow$ the boy car be different color
- Lemmatization: have to find correct dictionary headword form
- Machine translation
- In Spanish: quiero ('I want'), quieres ('you want') same lemma as querer 'want'


## Morphology

- It is the study of the internal structure of words.
- Morphology focuses on how the components within a word (stems, root words, prefixes, suffixes, etc.) are arranged or modified to create different meanings.
- Example: happy; un-happy; happy-ness; un-happy-ness
- Morphemes:
- The small meaningful units that make up words
- Stems: The core meaning-bearing units
- Affixes: Bits and pieces that adhere to stems
- Often with grammatical functions


## Stemming

- Reduce terms to their stems in information retrieval.
- Stemming is crude chopping of affixes
- language dependent
- e.g., automate(s), automatic, automation all reduced to automat.

```
for example compressed and compression are both accepted as equivalent to compress.
```

for exampl compress and compress ar both accept as equival to compress

## Basic Text Processing

Sentence Segmentation and Decision Trees

## Sentence Segmentation

- Sentence segmentation is another important step in text processing. The most useful cues for segmenting a text into sentences are punctuation, like periods (.), question marks (?), exclamation points (!).
- (?) and (!) are relatively unambiguous markers of sentence boundaries.
- (.) on the other hand, are more ambiguous.
- Sentence boundary
- Abbreviations like Inc. or Dr.
- Numbers like . $02 \%$ or 4.3
- Sentence tokenization methods work by building a binary classifier.
- Look at a period "."
- Decide EndOfSentence/NotEndOfSentence
- Classifiers: hand-written rules, regular expressions, or machine-learning


## Determining if a word is End-of-Sentence: Decision Tree



More sophisticated decision tree features

- Case of word with ".": Upper, Lower, Cap, Number
- Case of word after ".": Upper, Lower, Cap, Number
- Numeric features
- Length of word with "."
- Probability(word with "." occurs at end-of-s)
- Probability(word after "." occurs at beginning-of-s)


## Implementing Decision Trees

- A decision tree is just an if-then-else statement.
- The interesting research is choosing the features.
- Setting up the structure is often too hard to do by hand.
- Hand-building only possible for very simple features, domains
- For numeric features, it's too hard to pick each threshold
- Instead, structure usually learned by machine learning from a training corpus


## Basic Text Processing

Minimum Edit Distance

## How similar are two strings?

- Spell correction
- The user typed "graffe"
- Which is closest?
- graf
- graft
- grail
- giraffe
- Computational Biology
- Align two sequences of nucleotides

AGGCTATCACCTGACCTCCAGGCCGATGCCC
TAGCTATCACGACCGCGGTCGATTTGCCCGAC

- Resulting alignment:
- AGGCTATCACCTGACCTCCAGGCCGA--TGCCC---

TAG-CTATCAC--GACCGC--GGTCGATTTGCCCGAC

- Also for Machine Translation, Information Extraction, Speech Recognition


## Minimum Edit Distance

- The minimum edit distance between two strings.
- It is the minimum number of editing operations.
- Insertion
- Deletion
- Substitution
- Needed to transform one into the other.


## Minimum Edit Distance

## I NTE*NTION d-> delete  * EXECUTION $d s \mathrm{~s} \quad \mathrm{i} s$

- If each operation has cost of 1 , then Distance between these is 5
- If substitution operation cost 2 , then Distance between them is 8
- The gap between intention and execution, for example, is 5 (delete an i , substitute e for n , substitute x for t , insert c , substitute u for n ).
3 substitution +1 insert +1 delete $=5$


## How to find the Min Edit Distance?

- Searching for a path (sequence of edits) from the start string to the final string:
- Initial state: the word we're transforming
- Operators: insert, delete, substitute
- Goal state: the word we're trying to get to
- Path cost: what we want to minimize: the number of edits



## Defining Min Edit Distance

- For two strings
- X of length $n$
- Y of length $m$
- We define $\mathrm{D}(i, j)$
- the edit distance between $\mathrm{X}[1 . . i]$ and $\mathrm{Y}[1 . . j]$
- i.e., the first $i$ characters of X and the first $j$ characters of Y
- The edit distance between X and Y is thus $\mathrm{D}(n, m)$


## Minimum Edit Distance - Example

| $i n t e n t i o n$ | - delete i |
| :---: | :---: |
| $n \mathrm{t}$ ¢ n t i $o n$ | - substitute $n$ by e |
| e $t$ ention | - substitute $t$ by $x$ |
| $e \mathrm{x}$ e n t i $o n$ | - insert u |
| $e \mathrm{x}$ e n u t i on | - substitute $n$ by c |
| e x e c u t i o n |  |

Path from intention to execution.

$$
\begin{aligned}
& D[i, j]=\min \left\{\begin{array}{l}
D[i-1, j]+\text { del-cost }(\text { source }[i]) \\
D[i, j-1]+\text { ins-cost }(\text { target }[j]) \\
D[i-1, j-1]+\operatorname{sub}-\operatorname{cost}(\text { source }[i], \text { target }[j])
\end{array}\right. \\
& D[i, j]=\min \left\{\begin{array}{l}
D[i-1, j]+1 \\
D[i, j-1]+1 \\
D[i-1, j-1]+ \begin{cases}2 ; & \text { if source }[i] \neq \operatorname{target}[j] \\
0 ; & \text { if source }[i]=\operatorname{target}[j]\end{cases}
\end{array}\right.
\end{aligned}
$$

## Minimum Edit Distance - Example

| Src $\backslash$ Tar | $\#$ | $\mathbf{e}$ | $\mathbf{x}$ | $\mathbf{e}$ | $\mathbf{c}$ | $\mathbf{u}$ | $\mathbf{t}$ | $\mathbf{i}$ | $\mathbf{0}$ | $\mathbf{n}$ |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| $\#$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| $\mathbf{i}$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 6 | 7 | 8 |
| $\mathbf{n}$ | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 7 | 8 | 7 |
| $\mathbf{t}$ | 3 | 4 | 5 | 6 | 7 | 8 | 7 | 8 | 9 | 8 |
| $\mathbf{e}$ | 4 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 9 |
| $\mathbf{n}$ | 5 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 10 |
| $\mathbf{t}$ | 6 | 5 | 6 | 7 | 8 | 9 | 8 | 9 | 10 | 11 |
| $\mathbf{i}$ | 7 | 6 | 7 | 8 | 9 | 10 | 9 | 8 | 9 | 10 |
| $\mathbf{0}$ | 8 | 7 | 8 | 9 | 10 | 11 | 10 | 9 | 8 | 9 |
| $\mathbf{n}$ | 9 | 8 | 9 | 10 | 11 | 12 | 11 | 10 | 9 | 8 |

